



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/965,675	09/27/2001	Mark E. Dristy	PES-0048

CONFIRMATION NO. 2890

23413
CANTOR COLBURN, LLP
55 GRIFFIN ROAD SOUTH
BLOOMFIELD, CT 06002

FORMALITIES LETTER



OC000000006966290

Date Mailed: 10/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- o Total additional claim fee(s) for this application is \$1036.
 - **\$396** for **22** total claims over 20.
 - **\$640** for **8** independent claims over 3.
- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 1876.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/25/2002 SFELEKE1 00000101 09965675
01 FC:201 370.00 00
02 FC:202 336.00 00
03 FC:203 198.00 00
04 FC:205 65.00 00

HH

Section \$

\$

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
PES-0048

In Re Application Of: **MARK E. DRISTY et al.**

JAN 22 2002

PATENT & TRADEMARK OFFICE

Serial No.
09/965,675

Filing Date
September 27, 2001

Examiner

Group Art Unit

Invention: **APPARATUS AND METHOD FOR MAINTAINING COMPRESSION OF THE ACTIVE AREA IN AN
ELECTROCHEMICAL CELL**

**COPY OF PAPERS
ORIGINALLY FILED**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
October 24, 2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ One verified small entity declaration(s)
 - ☒ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

Filing Fee - Check
Postcard

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
PES-0048

In Re Application Of: **MARK E. DRISTY et al.**

Serial No.
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Filing Date
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Group Art Unit

Invention: **APPARATUS AND METHOD FOR MAINTAINING COMPRESSION OF THE ACTIVE AREA IN AN ELECTROCHEMICAL CELL**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$370.00

☐ Design application filing fee

☒ Total number of independent claims = 11 \$336.00

☒ Total number of claims = 42 \$198.00

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees \$969.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: Date until: Date

Total time extension fees

Total fees due \$969.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
PES-0048

In Re Application Of: **MARK E. DRISTY et al.**

JAN 22 2002
PATENT & TRADEMARK OFFICE

Serial No.
09/965,675

Filing Date
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Examiner

Group Art Unit

Invention: **APPARATUS AND METHOD FOR MAINTAINING COMPRESSION OF THE ACTIVE AREA IN AN ELECTROCHEMICAL CELL**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$969.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No.
A duplicate copy of this sheet is enclosed.
☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **06-1130**
A duplicate copy of this sheet is enclosed.

Signature

**Leah M. Reimer
Registration No. 39,341
Customer No. 23413**

Dated: **December 20, 2001**

I certify that this document and fee is being deposited on **December 21, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Marjorie R. Humes

Typed or Printed Name of Person Mailing Correspondence

cc:

1701 0320-
Docket No.
PES-0048

TRANSMITTAL OF FORMAL DRAWINGS

In Re Application Of: **MARK E. DRISTY et al.**

JAN 22 2002
PATENT OFFICE

Serial No.

09/965,675

Filing Date

September 27, 2001

Batch No.

Examiner

Art Unit

Invention: **APPARATUS AND METHOD FOR MAINTAININ G COMPRESSION OF THE ACTIVE AREA IN AN ELECTROCHEMICAL CELL**

COPY OF PAPERS
ORIGINALLY FILED

RECEIVED
APR 05 2002
TC 1700
COPY OF PAPERS
ORIGINALLY FILED

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

Transmitted herewith are:

Seven (7) sheets of formal drawing(s) for this application.

Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c) on the reverse side of the drawing.

RECEIVED
APR 03 2002
TC 1700

Dated: December 20, 2001

Signature

Leah M. Reimer
Registration No. 39, 341
Customer No. 23413

I certify that this document and attached formal drawings are being deposited on **December 21, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie R. Humes

Signature of Person Mailing Correspondence

Marjorie R. Humes

Typed or Printed Name of Person Mailing Correspondence